



DOCKET NO.: L0655.70006US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexei A. Erchak, et al.  
Serial No.: 10/724,033  
Confirmation No.: 7277  
Filed: November 26, 2003  
For: LIGHT-EMITTING DIODE UTILIZING A PHYSICAL  
PATTERN  
  
Examiner: B. Won  
Art Unit: 2879

---

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 8<sup>th</sup> day of January, 2007.

Melanie Chwasco  
Signature

---

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

21/01/2007 RSMARIE E0621201 282855 10784523  
21 FEB 03 \$180.00 DA

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office action, but before the mailing date of any final action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed search report from corresponding International Application PCT/US2004/10383, mailed November 30, 2006. Each reference (or its equivalent) listed in the search report had been cited previously to, or by the Applicant. Applicant notes that U.S. Patent Publication No. 2005/0082545 was cited which corresponds to U.S. Patent 7,012,279 which is listed on the search report.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

Serial No.: 10/724,033

- 3 -

Art Unit: 2879

Conf. No.: 7277

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

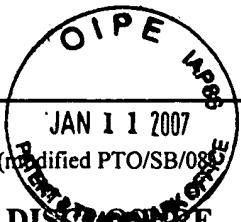


---

Robert H. Walat, Reg. No. 46,324  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: L0655.70006US01

Date: January 8, 2007



FORM PTO-1449/A and B (modified PTO/SB/08)				APPLICATION NO.: 10/724,033	ATTY. DOCKET NO.: L0655.70006US01
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE: November 26, 2003	CONFIRMATION NO.: 7277
				APPLICANT: Alexei A. Erchak, et al.	
Sheet	1	of	1	GROUP ART UNIT: 2879	EXAMINER: B. Won

#### U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials #	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			

#### OTHER ART – NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		International Search Report, from PCT/US2004/10383, mailed November 30, 2006.	

EXAMINER:

DATE CONSIDERED:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexei A. Erchak et al.  
 Serial No.: 10/724,033  
 Confirmation No.: 7277  
 Filed: November 26, 2003  
 For: LIGHT-EMITTING DIODE UTILIZING A PHYSICAL PATTERN  
 Examiner: B. Won  
 Art Unit: 2879

## Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 1/8/07

*Melcene Chew-Saro*  
Signature

TRANSMITTAL LETTER

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Information Disclosure Statement;
2. PTO Form 1449 with cited references; and
3. Return Receipt Postcard.

Please charge our Deposit Account No. 23/2825 in the amount of \$180.00 covering the required fees. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 23/2825, under Docket No.

L0655.70006US01. A duplicate copy of this paper is enclosed.

Dated: January 8, 2007

Respectfully submitted,

By Robert H. Walat

Robert H. Walat  
 Registration No.: 46,324  
 WOLF, GREENFIELD & SACKS, P.C.  
 Federal Reserve Plaza  
 600 Atlantic Avenue  
 Boston, Massachusetts 02210-2206  
 (617) 646-8000